RECEIVED
CENTRAL FAX CENTER

PAGE 01

S/N 09/998,360

OCT 16 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Applicant: Bosworth-Davies, et al.		J. Patel		
Serial No.:	09/998,360	Group Art Unit:	3624		
Filed:	November 29, 2001	Docket No.:	TN223		
Title:	COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS				

U.S. Patent and Trademark Office Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF TRANSMISSION VIA FACSIMILE

I hereby certify that the attached Amendment Transmittal Letter and Amendment in Response to Non-Final Office Action in the above-identified patent application, along with any paper referred to as being attached or enclosed, is being transmitted to telefax number (571) 273-8300.

Date: October 16, 2006

Tracey Cohen (Print Name)

(Signature)

18 Sheets Inclusive

CONFIRMATION OF RECEIPT IS REQUESTED VIA RETURN FACSIMILE TO 215-986-3090

215-986-3090

OFFICE

NTRAL FAX CENTER PAGE 02

					OCT 16	2006	
Applicant(s): B			TITTAL LETTE	CR	Docke TN2		Customer No. 27276
							2/2/6
09/998,360 Novembe		ng Date er 29, 2001	***************************************		Group Art Unit 3624		
Invention: COU	JNTERME!	SURES FOR	IRREGULARIT	TES IN FINANCIA	L TRANS	ACTION	S
		TO THE	COMMISSIONE	R FOR PATENTS:			
	·	ALI	P. O. BOX 1 XANDRIA, VA				
·			CLAIMS AS	AMENDED			
		AIMS	HIGHEST#	#EXTRA	RAT	TE.	ADDITIONAL
	REM	AINING	PREV. PAID			_	FEE
	AF	TER	FOR				^ D D
	AMEN	IDMENT					
Total Claims		66	67	0	\$18		\$0.00
Indep. Claims		5	5	0	\$88		\$0.00
Multiple Depende	nt Claims (check if appli	cable)	÷			\$0.00
		TOTA	L ADDITIONAL	L FEE FOR THIS	AMENDM	ENT	\$0.00
No addition	nal fee is req	uired for amer					
☐ The Assista	nt Commiss	ioner is hereb	y authorized to ch	narge and credit Dep	osit Accou	int No. 1	9-3790 as
described below.	A duplicate	copy of this s	heet is enclosed.				
🛚 Charge	the amount	of <u>\$0.00</u> as fil	ing fee.				
☐ Credit a	my overpayi	ment.					
△ Charge	any accertor	ial filing tees	required under 37	C.F.R. 1.16 and 1	17.		
•			1/	(0,)	1		
			1/2	un -			-
•				ichard J. Gregson egistration No. 41	.804		
			U	nisys Corporation	,		
	٠		B	nisys Way MS/E8 lue Bell, Pennsylva	nia 19424		
		_		15) 986-3325 Fax	(215) 986-	3090	
I hereby certify that Service with sufficient Alexandria, VA 2231	this correspon it postage as fi 3-1450 on the	dence is being fo	dificate of Transmiss acsimile transmitted an envelope address	ion/Mailing to the USPTO or depo sed to: Commissioner t	oslied with th for Putents, P	e United S l.O. Box 1	States Postal 450,
Signature:	2100	Conar	\				
Printed Name: 1	rey	Cohen		Date: Octobe	1 16,00	06	

10/16/2006 13:34

215-986-3090

OFFICE

PAGE 03

OCT 1 6 2006

11	MENDMENT TRANSING SWOrth-Davies, et al.	MITTAL LETTER		Docket No. TN223	Customer No. 27276
Serial No. Filing Date Examine 09/998,360 November 29, 2001 J. Patel					Group Art Unit 3624
Invention: COU	NTERMEASURES FOR	RIRREGULARITIE	S IN FINANCIA	L TRANSACTION	NS
	·	COMMISSIONER P. O. BOX 14: EXANDRIA, VA 2	50 ·		
	•	CLAIMS AS AI	MENDED		
*	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	#EXTRA	RATE	ADDITIONAL FEE
Total Claims	66	67	0	\$18	\$0.00
Indep. Claims	5	5	0	\$88	\$9.00
Multiple Depender	nt Claims (check if appl	icable)			\$8.00
	TOTA	L ADDITIONAL 1	FEE FOR THIS	AMENDMENT	\$0.00
The Assistant described below.	al fee is required for ame at Commissioner is herel A duplicate copy of this	by authorized to char sheet is enclosed.	rge and credit Dep	osit Account No.	19-3 7 90 as
Credit a	the amount of <u>\$0.00</u> as finy overpayment. any additional filing fees	required under 37.0	DEF.R. 1.16 and 1. Compared to the second s	4	
	·	Uni Uni Blu (215	sys Corporation sys Way MS/E8 e Bell, Pennsylva 5) 986-3325 Fax	-114	*
	Ce: his correspondence is being t postage as first class mail h 3-1450 on the date shown bel		the TEDTO d	osited with the United for Patents, P.O. Box	States Postal 1450,
Signature: 14	acy Conar	<u> </u>		<u> </u>	<u> </u>
Printed Name: 177	icali Cicha -		6 1 100	. 1/	

RECEIVED CENTRAL FAX CENTER

S/N 09/998,360

OCT 16 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	BOSWORTH-DAVIES ET AL.	Examiner:	J. PATEL		
PATEL	09/998,360	Group Art Unit:	3624		
Filed:	NOVEMBER 29, 2001	Docket No.:	TN223		
Title:	COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTION				

CERTIFICATE UNDER 37 CFR 1.8:

The undersigned hereby certifies that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313 on 10 10 00

Name: Tracey Cohen

Mail Stop Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

This is responsive to the official communication of July 17, 2006, rejecting various claims based variously on sections 102 and 112 of Title 35 of the U.S. Code. The Examiner indicates that claims 2, 6, 8, 10-15, 20, and 21 are object to as containing allowable subject matter but are based upon a rejected base claim. Amendment of the claims, reconsideration of the application, and allowance of all pending claims are respectfully requested.

If an extension is required, please consider this a request therefore. Please charge any additional fees to Deposit Account No. 19-3790.

The claims are modified responsively and argument is presented in the Remarks section below.